

9th Annual Aboriginal Trust and Investment Workshop

April 30 - May 2, 2013
The Banff Centre · Banff, Alberta

Building Economic Stability for Aboriginal Communities with Investment Strategies for a Prosperous Future

Rodgers Investment Consulting welcomes you to attend the ninth annual Aboriginal Trust and Investment Workshop. The three-day workshop is designed to educate and engage participants in discussion on the fundamentals of Aboriginal Settlement Trusts and Investment Management.

This Year's Workshop Will Include:

- Effectively Manage and Invest Settlement Capital and Resource Revenue
- Learn about Successful Strategies from Communities across Canada
- Meet leading Tax, Trust Law, and Investment Experts
- Building Endowments from Resource Revenue
- Evaluating Performances
- Designing Effective Trust Agreements
- The "Investment Challenge Game"
- Effective Trust Office Operation
- Selecting Investment Managers
- Impact Benefit Agreements
- Special Keynote Speakers
- Banquet with Live Entertainment

Register today! Time is running out!

See Agenda on pages 2 and 3, then register online at www.GoToRegister.ca/RIC or fill out the Registration Form on Page 3 and fax to 1-866-780-2188

RODGERS INVESTMENT CONSULTING

Phone: 1-866-535-7103
Fax: 1-866-780-2188
E-mail: RIC@GoToRegister.ca
Website: www.GoToRegister.ca/RIC

SPEAKERS INCLUDE:

Wyatt Arcand - National Aboriginal Trust Officers Association
Don Cranston - CGOV Asset Management
Lisa Ethan - Deloitte
Jeff Frketch - Peace Hills Trust
Jeff Harris - Meyers Weinberg
Linda Hartford - BMO Trust Company
Andrew Hoffman - Leith Wheeler Investment Counsel
Brian Holland - Guardian Capital
Brian J. Kearl - Gowling Lafleur Henderson LLP
Roberta Kufeldt - Ta'an Kwäch'än Council
Jamie Lickers - Gowling Lafleur Henderson LLP
Dave Mason - MFS McLean Budden
Andrew Mitchell - Ridgewood Capital Asset Management
Sheila Norman - CGOV Asset Management
Kip Ritchie - Potawatomi Business Development Corporation
Kelly Rodgers - Rodgers Investment Consulting
Mark Sevestre - Mississaugas of the New Credit Community Trust
John Simpson - Ridgewood Capital Asset Management
Steve Smith - Beutel Goodman
Georgina Villeneuve - Peace Hills Trust
Leilani Wilson Walkush - Breakwater Investment Group, LLC
Wayne Wilson - Lincluden Investment Management

For details, call 1-866-535-7103 or visit www.GoToRegister.ca/RIC



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Agenda - 2013 Aboriginal Trust & Investment Workshop in Banff, AB

DAY ONE: Tuesday, April 30, 2013

DAY TWO: Wednesday, May 1, 2013

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9:00 – 9:20	Grand Opening/ Welcome/ Prayer/ Introductions
9:20 – 9:30	Opening Remarks from the Chair
9:30 – 9:50	<ul style="list-style-type: none"> • Introduction to the Investment Challenge Throughout the three days of the Workshop, teams will compete to manage simulated portfolios. News will occur at regular intervals, allowing teams to change their portfolio holdings. At the conclusion, prizes will be awarded to the winning teams.
9:50 – 10:30	<ul style="list-style-type: none"> • Roles and Responsibilities of Community Trustees, Councillors, Community Members, Financial Advisors, Corporate Trustees and Investment Managers What should everyone be doing to make your Trust work?
10:30 – 10:50	Refreshment Break
10:50 – 12:00	<ul style="list-style-type: none"> • Trust Basics This is a primer for those who are new to the area of Trusts or just want a refresher course. • Investment Basics This session will cover basic investment concepts/principles. • Legal Structures for Métis Capital Pools This session will outline the options for Métis Settlements in holding their investment capital and some of the considerations in selecting the most appropriate option. • Trusts as Part of the IBA Process Many IBA's require the establishment of a Trust to receive payments over time from the industry partner. These Trusts will build over time & may have different considerations than a traditional settlement Trust.
12:00 – 12:30	<ul style="list-style-type: none"> • Investment Challenge Team Meetings Delegates will meet their team members for the Investment Challenge. Teams will review the security profiles & decide on their first round of trades. All trade tickets for Trading.
12:30 – 1:30	Lunch/ Round 1 Trades must be submitted by 1:30
1:30 – 2:45	<ul style="list-style-type: none"> • Trust Advanced This session will address some of the more complex issues that a Trust may face as time progresses and the community evolves. • Investment Advanced For delegates with knowledge and experience in investments. This session will help them build on that knowledge and introduce more advanced concepts and theory. • Trust Managers Consultation and Dialogue This is designed as a closed door session for those individuals in the role of Trust Manager, Trust Liaison or Trust Coordinator. It is an opportunity to share concerns, ideas and insights for common issues and problems. • Trusts, Treaties and Self Government This session will examine the issues surrounding own source revenue, whether received from a Trust, business or other capital pools, and the potential impact on obligations of federal and provincial governments established through treaties, self government agreements or other legislation.
2:45 – 3:00	Refreshment Break
3:00 – 3:45	<ul style="list-style-type: none"> • Fiduciary Duty Fulfilling your fiduciary duty is critical for Trustees, Elected Leadership and senior Administrative and Financial Staff. This session is designed to give delegates a thorough understanding of what it is, and tips on how to ensure that they are meeting a fiduciary Standard of Care.
3:45 – 4:45	<ul style="list-style-type: none"> • Conflict of Interest Panel The financial services industry is full of conflicts of interest. This panel will identify some of the key issues and implications and provide a guide to 'best practices' in managing potential conflicts.
4:45 – 5:00	<ul style="list-style-type: none"> • Investment Challenge First edition of the Turtle Island Financial Times is announced and distributed. Results of the first trading round and team standings announced. Turtle Island Securities Commission (TISC).

9:00 - 9:15	Opening Remarks from the Chair
9:15	Deadline for Round 2 Trades
9:15 - 10:15	<ul style="list-style-type: none"> • Tax Considerations When developing Trusts, development corporations, or limited partnership agreements, there are key tax issues to be aware of to ensure that taxes owing can be minimized. • Amending Trust Agreements Insights on how to develop an effective plan for updating old Trust Agreements to provide flexibility, tax effectiveness, and enhanced investment returns. • Passing of Accounts and Audits What is the difference between a Passing and an Audit? When should a Passing be required and why? What do you get from an Audit? • Effective RFP Process Develop an effective RFP and selection process for Financial Advisors, Trustees and investment managers. • Financial Literacy Initiatives in Native Communities Significant progress is being made south of the border in developing financial literacy among Tribal youth. This session will provide an overview of what is working and strategies that can be adopted by communities.
10:15 - 10:50	
10:50 - 11:00	Refreshment Break
11:00 - 11:15	"Turtle Island Financial Times" Issue 2 Release, Trade Round 2 results and Team Standings
11:15 - 11:50	NATO Accreditation Program
11:50 - 12:30	<ul style="list-style-type: none"> • Economic Development to build a sustainable and diversified economy The Forest County Potawatomi Community began diversifying their economy over a decade ago with a clear focus on profitability and sustainable economic development. The Potawatomi Business Development Corporation is now a successful growing enterprise with investments in more than a dozen operating businesses. COO Kip Ritchie will share their story.
12:30 - 1:30	Lunch
1:30 - 2:00	Team Meetings and Deadline for Round 3 Trades
2:00 - 3:00	<ul style="list-style-type: none"> • Economic Development Part II Kip Ritchie will share insights and practical tips from the Potawatomi experience and from his association with Bluestone Consulting on the critical building blocks for successful economic development and the necessary supports for fostering entrepreneurial success for community centered businesses.
3:00 - 3:20	Refreshment Break
3:20 - 4:20	<ul style="list-style-type: none"> • Environmental Social and Governance Factors Aboriginal communities are increasingly interested in ensuring their environmental & social concerns are reflected in their investment portfolios. Learn on how these factors can be incorporated into the process. • Investment Behaviour How headlines & human nature conspire to encourage poor investment decision making & performance. Tips & strategies for recognizing/avoiding investment pitfalls.
	Break Out #3
	<ul style="list-style-type: none"> • Understand Financial Statements/Investment Reports Learn how to read and understand financial statements, trust reports and investment reports. How to find the most important information and what to look for.
4:20 - 4:30	Release of Issue 3 "Turtle Island Financial Times" and Announcement of Team Standings
5:00	Deadline for Round 4 Trades
6:30	Banquet

